



MEMORANDUM – 2026 -001

TO : ALL TRADING PARTICIPANTS

SUBJECT : EMPLOYEE MOVEMENT

DATE : 08 JANUARY 2026

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 December 2025.

For information and guidance.

(original signed)

GERARD B. SANVICTORES

President

ACD	FHRAD	IED	SD

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
31-Dec-25	LICSI	MARY JANE F.	SALESMAN	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (cleared)
31-Dec-25	ALCARAZ	PEPITO L.	ASSOCIATED PERSON	-	BA SECURITIES, INC.	RESIGNATION (clearance under process)
31-Dec-25	HONRUBIA	NELSON V.	ASSISTANT COMPLIANCE	ASSOCIATED PERSON	BA SECURITIES, INC.	RE-DESIGNATION
22-Dec-25	TORRES	RODOLFO S.	VICE PRESIDENT / TREASURER	VICE PRESIDENT / CORPORATE SECRETARY/ TREASURER	MARIAN SECURITIES, INC.	RE-DESIGNATION
19-Dec-25	BAUTISTA	LILLI ANN D.	CORPORATE SECRETARY	-	MARIAN SECURITIES, INC.	RESIGNATION (cleared)
16-Dec-25	MOLINA	CHRISTIAN KARL J.	-	DEPARTMENT HEAD - HUMAN RESOURCES	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
16-Dec-25	ANG	ANNABELLE B.	-	ADMINISTRATIVE ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
16-Dec-25	DIZON	ANNA CHRISTIE P.	CHIEF FINANCIAL OFFICER/ LICENSED AP	CHIEF FINANCIAL OFFICER/ OIC- LICENSED AP/ DATA PROTECTION OFFICER	SALISBURY SECURITIES CORPORATION	RE-DESIGNATION
16-Dec-25	LAO	TRISTAN JAY G.	FINANCIAL ANALYST	-	CTS GLOBAL EQUITY GROUP, INC.	UPDATE: RESIGNATION (cleared)
16-Dec-25	MACUGAY	JACQUELINE O.	-	COMPLIANCE AND RISK ASSOCIATE	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-25	PADRE	MARYDEL M.	COMPLIANCE OFFICER	COMPLIANCE OFFICER / ASSOCIATED PERSON	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
15-Dec-25	DELA LLANA	ABEGAIL F.	TAX ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
15-Dec-25	GARRIDO	JASMIN S.	ASSOCIATED PERSON	-	SARANGANI SECURITIES, INC.	RESIGNATION (without clearance)
15-Dec-25	MACUGAY	JACQUELINE O.	COMPLIANCE OFFICER/ DATA PROTECTION OFFICER	-	SALISBURY SECURITIES CORPORATION	RESIGNATION (cleared)

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15-Dec-25	RILLO	MATTHEW ASHER C.	INTERN	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION (cleared)
14-Dec-25	PALISOC	MARIA KRISTIN C.	BUSINESS OPERATION ASSOCIATE	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION (clearance under process)
10-Dec-25	SINGSON	GRACE KAREN G.	-	DIRECTOR	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
10-Dec-25	BAYUDAN	ANN JUSTINE	ON-THE-JOB TRAINEE	-	VC SECURITIES CORPORATION	RESIGNATION (no clearance required)
9-Dec-25	GARCIA	PATRICK ARVIN M.	-	OUTSIDE CONTRACTOR	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
9-Dec-25	DE LA CRUZ	REY SALDE L.	-	OUTSIDE CONTRACTOR	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
9-Dec-25	PILLAS	MAJESTY A.	-	SALESMAN	META CAPITAL SECURITIES, INC. (formerly Yu & Company, Inc.)	NEW EMPLOYMENT
5-Dec-25	DALAWANG BAYAN	CARLO R.	ACCOUNTING ASSISTANT	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO BRANCH
5-Dec-25	CRISTOBAL	MARIA VIRGIE ANN A.	ACCOUNTING ASSISTANT	-	APEX (PHILIPPINES) EQUITIES CORPORATION	RESIGNATION (no clearance required)
5-Dec-25	VAGILIDAD	RODD ANGELO D.	RESEARCH ANALYST	-	BDO SECURITIES CORPORATION	RESIGNATION (clearance under process)
4-Dec-25	BASA	J. VICTOR BENJAMIN A.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
4-Dec-25	VASCO	JOSE ANTONIO O.	DIRECTOR	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (no clearance required)
4-Dec-25	MADRID	MARICEL L.	-	DIRECTOR	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
3-Dec-25	HERNANDEZ	RAFAEL J.	CERTIFIED UITF SPECIALIST	CERTIFIED FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-Dec-25	TAN	JOAQUIN MARI D.	CERTIFIED UITF SPECIALIST	CERTIFIED FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION

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3-Dec-25	SYLEUNGYUM	TANYA F.	INSTITUTIONAL BUSINESS GROUP ASSOCIATE	INSTITUTIONAL EQUITY SALES	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-Dec-25	CASTAÑOS	NATHANIEL PHILLIP D.	CSR EQUITIES TRADER	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (clearance under process)
3-Dec-25	MANUEL	AMALIA LOURDES R.	ASSOCIATED PERSON	-	MDR SECURITIES, INC.	RESIGNATION (cleared)
2-Dec-25	BAYAG	MITCHELE S.	-	EQUITY INVESTMENT ADVISER	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
2-Dec-25	PINEDA	JOMARI ANGELO Q.	PROPRIETARY TRADER	SALESMAN/ PROPRIETARY TRADER	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
1-Dec-25	RAMIREZ	MELANIE S.	-	BUSINESS SYSTEM ANALYST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Dec-25	IDOS	KURT RUSSEL M.	MARKET MAKER	FUNDS MANAGEMENT OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
1-Dec-25	COMANDANTE	SAIGON SOPHIA B.	-	OPERATIONS ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
1-Dec-25	BUNYE	RAE ANNE P.	-	CUSTOMER CARE ASSOCIATE	PNB SECURITIES, INC.	NEW EMPLOYMENT
1-Dec-25	PERUCHO	JOSE GABRIEL M.	-	IT SYSTEM MANAGER	PNB SECURITIES, INC.	NEW EMPLOYMENT
1-Dec-25	QUELLO	ARNEL D.	ADMINISTRATIVE ASSOCIATE	SETTLEMENT ASSOCIATE	PNB SECURITIES, INC.	RE-DESIGNATION
1-Dec-25	MENDOZA	INENE P.	-	GENERAL ACCOUNTANT	BA SECURITIES, INC.	NEW EMPLOYMENT
1-Dec-25	MANALASTAS	ARABELLA R.	CORPORATE SECRETARY	CHAIRMAN	S. J. ROXAS & COMPANY, INC.	RE-DESIGNATION
1-Dec-25	YAPTINCHAY	LUIS ALFONSO R.	-	CORPORATE SECRETARY	S. J. ROXAS & COMPANY, INC.	NEW EMPLOYMENT
1-Dec-25	REBOSA	ALLIZA MAE D.	-	BUSINESS DEVELOPMENT CONSULTANT	EASTERN SECURITIES DEVELOPMENT CORPORATION	NEW EMPLOYMENT

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1-Dec-25	DUQUE	JOYCE M.	STOCKHOLDER	STOCKHOLDER/ AUTHORIZED SIGNATORY REPRESENTATIVE	UPCC SECURITIES CORPORATION	RE-DESIGNATION
1-Dec-25	UY	STELLA MARIE JILL C.	-	AUTHORIZED SIGNATORY REPRESENTATIVE	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
1-Dec-25	COLLADO	MARK JAYSON R.	SETTLEMENT SPECIALIST	INSTITUTIONAL SETTLEMENT OFFICER	SB EQUITIES, INC.	RE-DESIGNATION
1-Dec-25	MIJARES	DIANALYN P.	OPERATION SPECIALIST	SETTLEMENT SPECIALIST	SB EQUITIES, INC.	RE-DESIGNATION
1-Dec-25	ENCARNACION	JANET A.	-	CORPORATE SECRETARY	SB EQUITIES, INC.	NEW EMPLOYMENT
30-Nov-25	CHING	SUSAN H.	DIRECTOR	-	UOB-KAY HIAN SECURITIES (PHILIPPINES), INC.	RESIGNATION (cleared)
30-Nov-25	ROXAS	ZENAIDA A.	CHAIRMAN	-	S. J. ROXAS & COMPANY, INC.	RETIREMENT
30-Nov-25	BAUTISTA	GABRIEL J.	CERTIFIED SALES REPRESENTATIVE	-	TANSENGCO & COMPANY, INC.	RESIGNATION (cleared)
29-Nov-25	GARCIA	MARIANNE F.	OPERATIONS ASSISTANT	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
28-Nov-25	NAPOLES	DANIELLE A.	CAIF CUSTODIAN	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (cleared)
28-Nov-25	PALO	PAULA S.	-	TECHNOLOGY SUPPORT	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
28-Nov-25	CUETO	MENDELL V.	-	TECHNOLOGY SUPPORT - VICE PRESIDENT	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
28-Nov-25	PERUCHO	JOSE GABRIEL M.	IT BROKERAGE SYSTEMS LEAD	-	SEEDBOX SECURITIES, INC.	RESIGNATION (clearance under process)
27-Nov-25	TORRANO	YSABELLE ANDRE G.	MARKETING ASSOCIATE	-	DRAGONFI SECURITIES INC.	RESIGNATION (cleared)
27-Nov-25	LARAGAN	KRISHA MARIE G.	-	ACCOUNTING ASSISTANT	A.T. DE CASTRO SECURITIES CORPORATION	NEW EMPLOYMENT

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27-Nov-25	GELANO	ANGELO R.	AGENT	-	SB EQUITIES, INC.	RESIGNATION (cleared)
25-Nov-25	SABIO	KIMBERLY ROSE ANN B.	-	OFFICE STAFF	ALPHA SECURITIES CORPORATION	NEW EMPLOYMENT
25-Nov-25	GLUDO	KIER PETER G.	ON-THE-JOB TRAINEE	-	VC SECURITIES CORPORATION	RESIGNATION (no clearance required)
24-Nov-25	IDANAN	ANALIZA A.	-	ACCOUNTING CLERK	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
24-Nov-25	SANTIAGO	JOSH XAVIERRE	ON-THE-JOB TRAINEE	-	VC SECURITIES CORPORATION	RESIGNATION (no clearance required)
24-Nov-25	JALI-JALI	KENT LOUIE D.	ON-THE-JOB TRAINEE	-	VC SECURITIES CORPORATION	RESIGNATION (no clearance required)
24-Nov-25	ILAGAN	MARCUS EIVAN M.	ON-THE-JOB TRAINEE	-	VC SECURITIES CORPORATION	RESIGNATION (no clearance required)
21-Nov-25	LIM	ALFRED RAYMOND S.	ASST CORP SEC	-	ARMSTRONG SECURITIES, INC.	RESIGNATION (no clearance required)
21-Nov-25	OCBA	LYKA S.	ACCOUNTING CLERK (ON PROBATION)	-	MDR SECURITIES, INC.	RESIGNATION
20-Nov-25	DAQUIOAG	MARJORIE C.	ADMIN, PROCUREMENT AND FACILITIES CLERK	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (no clearance required)
18-Nov-25	NAVARRO	JUSTINE A.	-	ASSISTANT CORPORATE SECRETARY	UBS SECURITIES PHILIPPINES INC.	NEW EMPLOYMENT
18-Nov-25	VELASCO	AISON BENEDICT C.	ASSISTANT CORPORATE SECRETARY	CORPORATE SECRETARY	UBS SECURITIES PHILIPPINES INC.	RE-DESIGNATION
18-Nov-25	COCHICO	JOSEPHINE ALESSANDRA G.	CORPORATE SECRETARY	-	UBS SECURITIES PHILIPPINES INC.	RESIGNATION (cleared)
17-Nov-25	ANOG	IVY LHOU L.	-	ACCOUNTING ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
17-Nov-25	TAN	THEODORE T.	PRESIDENT	PRESIDENT/ACTING AP/CO	AP SECURITIES, INC.	RE-DESIGNATION

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17-Nov-25	MENESES	JUDIE Q.	-	OPERATIONS ASSISTANT	REGIS PARTNERS, INC.	NEW EMPLOYMENT
17-Nov-25	TERANIA	ARNYL JAYVEE M.	-	OPERATIONS STAFF	AP SECURITIES, INC.	NEW EMPLOYMENT
13-Nov-25	SANTOS	MICHAEL ANGELO G.	SALESMAN	-	SEEDBOX SECURITIES, INC.	RESIGNATION (clearance under process)
12-Nov-25	VILLAFRANCA	JAN ADRIEL M.	CSR EQUITIES TRADER	-	CTS GLOBAL EQUITY GROUP, INC.	UPDATE: RESIGNATION (cleared)
10-Nov-25	SAN JUAN	ANJANETH T.	-	COMPLIANCE STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
7-Nov-25	ROBELLES	ALLAN M.	-	ACCOUNTING TRAINEE	CUALOPING SECURITIES CORPORATION	NEW EMPLOYMENT
3-Nov-25	ALMENCION	RONNEL C.	-	ACCOUNTING CLERK	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
1-Nov-25	TANEDO	CORRINE HAZEL G.	PRESIDENT	PRESIDENT & DIRECTOR	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
24-Oct-25	TEMPONGKO	RAYMOND VAL M.	CHIEF OPERATING OFFICER	-	CLSA PHILIPPINES, INC.	UPDATE: RETIREMENT (cleared)
16-Oct-25	PERALTA	ANNABEL A.	NEW ACCOUNTS SPECIALIST	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
11-Oct-25	LINGAT	DANIELLE M.	HEAD OF MARKETING & COMMUNICATIONS	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
14-Jun-25	RAFAEL	RACHELL T.	CUSTOMER SUPPORT SPECIALIST	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)